



Patent
Attorney's Docket No. 033052-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Zhang et al.) Group Art Unit: 1645
Application No.: 09/892,327) Examiner: Unassigned
Filed: June 26, 2001)
For: Novel Compounds Possessing)
Antibacterial, Antifungal or Antitumor)
Activity)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited below is enclosed:

Non-Patent References:

1. International Search Report in related PCT application no. PCT/US01/20334, mailed May 15, 2002;

2. Bartulewicz, D., et al., "Synthetic Analogues of Netropsin and Distamycin-Synthesis of a New Pyridine and Carbocyclic Analogues of the Pyrrolecarboxamide antitumor antibiotics", *Acta Biochimica Polonica*, Vol. 45, No. 1, pp. 41-57 (1998).

3. Bielawski, K., et al., "Synthetic Analogues of Netropsin and Distamycin III Synthesis of a Pyridine Analgue of the Pyrrolecarboxamide Antitumor Antibiotics", *Roczniki Akademii Medycznej w Białymostku*, Vol. 41, No. 2, pp. 293-304 (1996).

4. Chowdhury, AK, et al., "Synthesis and Evaluation of Bix-Dipeptide and Bis-Tripeptide Analogues of Actinomycin D", *Jol. of Medicinal Chem.*, Vol. 21, No. 7, pp. 607-612 (1978).

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5. Yavorskaya, NP, et al., "Search for Antitumor Compounds Among Actinocin Derivatives", *Chem Abstract No. XP-002194807* (1997).

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

I, the undersigned, hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from the United States Receiving Office acting as the International Search Authority for the PCT application corresponding to this application. Thus, Applicant believes this constitutes a communication from a foreign patent office in a counterpart foreign application and that each item of information contained therein was not cited more than three months prior to the filing of this Information Disclosure Statement. Therefore, no fee is due.

To assist the Examiner, the documents listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

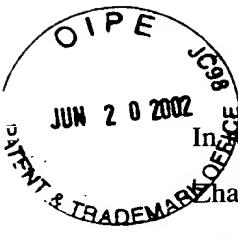
Respectfully submitted,

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In the Patent Application of
Chang et al.

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Filed: June 26, 2001

For: Novel Compounds Possessing
Antibacterial, Antifungal or Antitumor
ActivityGroup Art Unit: 1645
Examiner: Unassigned**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- No additional fee for submission of an IDS is required.
- The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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